



FACTS

WHAT DOES GUARDIANS, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ■ Social Security number and income ■ Account information and balances ■ Credit history and credit scores
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Guardians, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Guardians, LLC share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	YES
For our marketing purposes— to offer our products and services to you	YES	YES
For joint marketing with other financial companies	YES	YES
For our affiliates' everyday business purposes— information about your transactions and experiences	YES	YES
For our affiliates to market to you	YES	YES
For nonaffiliates to market to you	YES	YES

To limit our sharing	<ul style="list-style-type: none"> ■ Visit us online: www.GuardiansWealth.com or ■ Mail the form below <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
Questions?	Call 949-206-9675 or go to www.GuardiansWealth.com



Mail-in Form		
<p>Leave Blank OR [If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.]</p> <p><input type="checkbox"/> Apply my choices only to me]</p>	<p>Mark any/all you want to limit:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Do not share information about my creditworthiness with your affiliates for their everyday business purposes. <input type="checkbox"/> Do not allow your affiliates to use my personal information to market to me. <input type="checkbox"/> Do not share my personal information with nonaffiliates to market their products and services to me. 	
Name		<p>Mail to: Guardians, LLC 1001 Cannnade Cir., Costa Mesa, CA 92626</p> <p>Or email: audrey@guardianswealth.com</p>
Address		
City, State, Zip		



Who we are	
Who is providing this notice?	Guardians, LLC
What we do	
How does Guardians, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Guardians, LLC collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ■ Open an account or transfer securities and money ■ Apply for a loan ■ Apply for an insurance policy <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. Please refer to www.guardianswealth.com to view applicable state laws in your state of residence.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account—unless you tell us otherwise by checking box in mail-in form on the previous page.
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>Guardians Lending</i> ■ <i>Guardians Realty</i> ■ <i>Insurance Services by Guardians, LLC</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>A Nonaffiliated company would be one that Guardians, LLC transacts business with and brokers financial instruments for the sake of carrying out financial planning services as agreed to by client and Guardians, LLC.</i>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ■ <i>A provider that Guardians, LLC uses to enhance the customer experience. Financial Advisers will have received prior verbal express consent from client prior to sending client joint marketing materials.</i>
Other important information	
Please note that limiting sharing with affiliates and nonaffiliates may hinder Guardians, LLC from providing the best financial advice and Guardians, LLC may determine the consultative nature of their financial planning agreement may not be appropriate and can terminate that in writing. Please seek the advice of your financial adviser prior to cutting sharing with affiliate and nonaffiliate companies.	